

09/555,360

9/26/00

PTO/SB/10 (10-99)

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERNDocket Number (Optional)
00P061:RC:SBApplicant or Patentee: Leslie Robert WATSON, Christoph Friedrich TSCHOPP, Ross Andrew WEAVER,
Application or Patent No.: 09, 555, 360 Pieter Adriaan KUIPER and Ian David HENDERSON
Filed or issued:
Title: A SAMPLE DISTRIBUTION APPARATUS/SYSTEM

I hereby declare that I am

- ☐
- the owner of the small business concern identified below:
-
- ☒
- an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN A.I. Scientific Pty LtdADDRESS OF SMALL BUSINESS CONCERN 91 Landsborough Avenue, Scarborough,
Queensland, 4020, Australia

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.8(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒
- the specification filed herewith with title as listed above.
-
- ☐
- the application identified above.
-
- ☐
- the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒
- no such person, concern, or organization exists.
-
- ☐
- each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

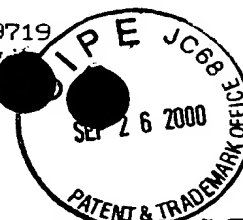
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Stephen PRONKTITLE OF PERSON IF OTHER THAN OWNER Chief Executive Officer of A.I. Scientific Pty LtdADDRESS OF PERSON SIGNING 91 Landsborough Avenue, Scarborough, Queensland, 4020, AustraliaSIGNATURE [Signature]

DATE

20/5/00

BURDEN HOUR ESTIMATE: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the details of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



00P061:RC:SB

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that

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- ☒ was described and claimed in International application No. PCT/AU98/00991 filed on 27 November 1998 and as amended on _____ (if any).

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(Complete this part only if this is a continuing application.)

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c/o YOUNG & THOMPSON
Second Floor
745 South 23rd Street
Arlington, Virginia 22202.

Address all telephone calls to Young & Thompson at 703/521-2297. Telefax: 703/685-0573.

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Full name of sole or first inventor: **Leslie Robert WATSON**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Annerley, Queensland, Australia** Citizenship: **Australia**

Post Office Address: **10 Gibson Street
Annerley, Queensland 4103 Australia**

2-00
Full name of second joint inventor, if any: **Christoph Friedrich TSCHOPP**
(given name, family name)

Inventor's signature Mr. Tschopp Date 03.07.2000

Residence: **Scarborough, Queensland, Australia** AUX Citizenship: **Switzerland/Australia**

Post Office Address: **91 Landsborough Avenue
Scarborough, Queensland 4020, Australia**

Full name of third joint inventor, if any: **Ross Andrew WEAVER**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia** Citizenship: **Australia**

Post Office Address: **91 Landsborough Avenue
Scarborough, Queensland 4020, Australia**

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Full name of sole or first inventor: Leslie Robert WATSON
(given name, family name)

Inventor's signature



Date

12 July 2000

Residence: Annerley, Queensland, Australia

AUX

Citizenship: Australia

Post Office Address: 10 Gibson Street
Annerley, Queensland 4103 Australia

Full name of second joint inventor, if any: Christoph Friedrich TSCHOPP
(given name, family name)

Inventor's signature

Date

Residence: Scarborough, Queensland, Australia

Citizenship: Switzerland/Australia

Post Office Address: 91 Landsborough Avenue
Scarborough, Queensland 4020, Australia

Full name of third joint inventor, if any: Ross Andrew WEAVER
(given name, family name)

Inventor's signature

Date

Residence: Scarborough, Queensland, Australia

Citizenship: Australia

Post Office Address: 91 Landsborough Avenue
Scarborough, Queensland 4020, Australia

Best Available Copy

00P061:RC:SB

Full name of fourth joint inventor: **Ian David HENDERSON**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Beds, United Kingdom**Citizenship: **United Kingdom**

Post Office Address: **80A High Street**
Henlow, Beds SG16 6ATS, United Kingdom

Full name of fifth joint inventor, if any: **Pieter Adriaan KUIPER**
(given name, family name)

Inventor's signature _____

Date 29 June 2000Residence: **Narangba, Queensland, Australia**Citizenship: **Australia**

Post Office Address: **63 Morgan Road**
Narangba
Queensland 4504, Australia

Full name of sixth joint inventor, if any: **Christian Darrel DECOSTERD**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Sunnybank, Queensland, Australia**Citizenship: **Australia**

Post Office Address: **84 Dunedin Street**
Sunnybank
Queensland, 4109, Australia

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Inventor's signature _____ Date _____

Residence: **Annerley, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **10 Gibson Street
Annerley, Queensland 4103 Australia**

Full name of second joint inventor, if any: **Christoph Friedrich TSCHOPP**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia**

Citizenship: **Switzerland/Australia**

Post Office Address: **91 Landsborough Avenue
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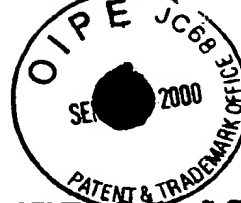
Full name of third joint inventor, if any: **Ross Andrew WEAVER**
(given name, family name)

Inventor's signature R. Weaver Date 11/7/2000

Residence: **Scarborough, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **91 Landsborough Avenue
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the specification of which: *(check one)*

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Full name of sole or first inventor: **Leslie Robert WATSON**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Annerley, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **10 Gibson Street
Annerley, Queensland 4103 Australia**

Full name of second joint inventor, if any: **Christoph Friedrich TSCHOPP**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia**

Citizenship: **Switzerland/Australia**

Post Office Address: **91 Landsborough Avenue
Scarborough, Queensland 4020, Australia**

Full name of third joint inventor, if any: **Ross Andrew WEAVER**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **91 Landsborough Avenue
Scarborough, Queensland 4020, Australia**

00P061:RC:SB

Full name of fourth joint inventor: **Ian David HENDERSON**
(given name, family name)

Inventor's signature 

Date 11/7/2000

Residence: ~~Beds, United Kingdom~~ Somerset, UK //

Citizenship: ~~United Kingdom~~
AUSTRALIA //

Post Office Address: ~~80A High Street~~
~~Henlow, Beds SG16 6AT5, United Kingdom~~
53 Main Rd, Middlezoy
Somerset, TA7 0NN, United Kingdom //

Full name of fifth joint inventor, if any: **Pieter Adriaan KUIPER**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Narangba, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **63 Morgan Road**
Narangba
Queensland 4504, Australia

Full name of sixth joint inventor, if any: **Christian Darrel DECOSTERD**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Sunnybank, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **84 Dunedin Street**
Sunnybank
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Inventor's signature _____

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Residence: **Beds, United Kingdom**

Citizenship: **United Kingdom**

Post Office Address: **80A High Street
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(given name, family name)

Inventor's signature _____

Date _____

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Inventor's signature _____ Date _____

Residence: **Annerley, Queensland, Australia** Citizenship: **Australia**

Post Office Address: **10 Gibson Street**
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Full name of second joint inventor, if any: **Christoph Friedrich TSCHOPP**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia** Citizenship: **Switzerland/Australia**

Post Office Address: **91 Landsborough Avenue**
Scarborough, Queensland 4020, Australia

Full name of third joint inventor, if any: **Ross Andrew WEAVER**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia** Citizenship: **Australia**

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(Status--patented, pending, abandoned)

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(given name, family name)

Inventor's signature _____

Date _____

Residence: **Beds, United Kingdom**

Citizenship: **United Kingdom**

Post Office Address: **80A High Street
Henlow, Beds SG16 6ATS, United Kingdom**

Full name of fifth joint inventor, if any: **Pieter Adriaan KUIPER**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Narangba, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **63 Morgan Road
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Inventor's signature _____

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My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SAMPLE DISTRIBUTION APPARATUS/SYSTEM

the specification of which: *(check one)*

REGULAR OR DESIGN APPLICATION

☐ is attached hereto.

☒ was filed on May 30, 2000 as application Serial No. 09/555,360 and was amended on _____ (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

☒ was described and claimed in International application No. PCT/AU98/00991 filed on 27 November 1998 and as amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

PRIORITY CLAIM

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)

Country	Application Number	Date of Filing (day, month, year)	Priority Claimed
Australia	PP 0581	27 November 1997	Yes

(Complete this part only if this is a continuing application.)

I hereby claim the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status--patented, pending, abandoned)

00P061:RC:SB

COMBINED DECLARATION AND POWER OF ATTORNEY

I, a below named inventor, I hereby declare that

my residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SAMPLE DISTRIBUTION APPARATUS/SYSTEM

the specification of which: *(check one)*

REGULAR OR DESIGN APPLICATION

☐ is attached hereto.

☒ was filed on May 30, 2000 as application Serial No. 09/555,360 and was amended on _____ (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

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(Application Serial No.)

(Filing Date)

(Status--patented, pending, abandoned)

JUN 17 2000 18:05:03 685 0573

YOUNG & THOMPSON

00P061:RC:SB

POWER OF ATTORNEY

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from Intellpro as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

As a named inventor, I hereby appoint the registered patent attorneys represented by Customer No. 000466 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, including: Robert J. PATCH, Reg. No. 17,355, Andrew J. PATCH, Reg. No. 32,925, Robert F. HARGEST, Reg. No. 25,590, Benoit CASTEL, Reg. No. 35,041, Eric JENSEN, Reg. No. 37,855, Thomas W. PERKINS, Reg. No. 13,027, and Roland E. LONG, Jr., Reg. No. 41,949,

/s/ YOUNG & THOMPSON
Second Floor
745 South 23rd Street
Arlington, Virginia 22202.

Address all telephone calls to Young & Thompson at 703/521-2297, Telefax: 703/685-0573.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made in information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: **Leslie Robert WATSON**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Annerley, Queensland, Australia** Citizenship: **Australia**

Post Office Address: **10 Gibson Street
Annerley, Queensland 4103 Australia**

Full name of second joint inventor, if any: **Christoph Friedrich TSCHOPP**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia** Citizenship: **Switzerland/Australia**

Post Office Address: **91 Landsborough Avenue
Scarborough, Queensland 4020, Australia**

Full name of third joint inventor, if any: **Ross Andrew WEAVER**
(given name, family name)

Inventor's signature _____ Date _____

Residence: **Scarborough, Queensland, Australia** Citizenship: **Australia**

Post Office Address: **91 Landsborough Avenue
Scarborough, Queensland 4020, Australia**

00P061:RC:SB

Full name of fourth joint inventor: **Ian David HENDERSON**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Beds, United Kingdom**

Citizenship: **United Kingdom**

Post Office Address: **80A High Street
Henlow, Beds SG16 6ATS, United Kingdom**

Full name of fifth joint inventor, if any: **Pieter Adriaan KUIPER**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Narangba, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **63 Morgan Road
Narangba
Queensland 4504, Australia**

Full name of sixth joint inventor, if any: **Christian Darrel DECOSTERD**
(given name, family name)

Inventor's signature _____

Date _____

Residence: **Sunnybank, Queensland, Australia**

Citizenship: **Australia**

Post Office Address: **84 Dunedin Street
Sunnybank
Queensland, 4109, Australia**

00P061:RC:SB

A S S I G N M E N T

WHEREAS, we, Leslie Robert WATSON, Christoph Friedrich TSCHOPP, Ross Andrew WEAVER, Ian David HENDERSON, Pieter Adriaan KUIPER, and Christian Darrel DECOSTERD, hereafter referred to as "applicants", have invented certain new and useful improvements in **SAMPLE DISTRIBUTION APPARATUS/SYSTEM**, for which an application for a United States patent was filed on May 30, 2000, Application No. 09/555,360, and

WHEREAS, **A.i. Scientific Pty Ltd.**, of 91 Landsborough Avenue Scarborough, Queensland 4020 Australia, hereinafter referred to as "assignee", is desirous of acquiring the entire right, title and interest in and to the same;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, applicants, by these presents, do hereby sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all patents which may be granted therefor in the United States, for its sole use and behoof, and for the use and behoof of its legal representatives, to the full end of the term for which said patents may be granted, as fully and entirely as the same would have been held by applicants had this assignment and sale not been made.

Applicants hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States patents to said assignee.

Date: _____

Leslie Robert WATSON

Date: _____

Christoph Friedrich TSCHOPP

Date: _____

Ross Andrew WEAVER

00P061:RC:SB

Date: _____

Ian David HENDERSON

Date: _____

Pieter Adriaan KUIPER

Date: _____

Christian Darrel DECOSTERD